#### COMMONWEALTH OF PENNSYLVANIA

GAMING CONTROL BOARD

\* \* \* \* \* \* \* \*

PUBLIC MEETING

\* \* \* \* \* \* \*

BEFORE: DAVID M. BARASCH, CHAIRMAN

Gregory C. Fajt; Richard G. Jewell; Keith

R. McCall; Anthony C. Moscato; William H.

Ryan, Jr.; David W. Woods, Members

Jennifer Langan, representing Timothy

Reese, State Treasurer; Robert Coyne,

representing Eileen McNulty, Secretary of

Revenue; Jorge Augusto, representing

Russell Redding, Secretary of Agriculture

HEARING: Wednesday, March 2, 2016, 10:02 a.m.

LOCATION: Pennsylvania Gaming Control Board

Strawberry Square Complex

2nd Floor

Harrisburg, PA 17101

WITNESSES: Sean McNulty, Kevin O'Sullivan

Reporter: Corey Elizabeth Summers

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## PROCEEDINGS

# CHAIRMAN:

Good morning. I'm David Barasch,

Chairman of the Pennsylvania Gaming Control Board.

Before we begin, I'd again ask people to silence their cell phones. With us today is Fred Strathmeyer representing the Secretary of Agriculture, Jennifer Langan representing the State Treasurer, and Bob Coyne representing the Secretary of Revenue. Quorum of the Board being present, I'll call the meeting to order.

First order of business, I'd like everyone to stand for the Pledge of Allegiance. PLEDGE OF ALLEGIANCE RECITED

## CHAIRMAN:

By way of announcements, the Board held an Executive Session yesterday on March 1st for the purpose of discussion personnel matters and to conduct quasi-judicial deliberations relating to matters before the Board today. Next, we'll hear from our Counsel, Doug Sherman.

#### ATTORNEY SHERMAN:

Good morning, Chairman and members of the Board. Today the Board has just one Petition before it for consideration. That Petition is that of

Shannon Dark and it's a request to lift the suspension of her Gaming Employee Occupation Permit. The matter is to be decided on the documents filed of record as it is uncontested and OEC has not objected to the lifting of the suspension.

Briefly, in February of 2015 the Office of Enforcement Counsel (OEC) filed a Complaint to Suspend Ms. Dark's Occupation Permit after learning that she had been arrested and charged with numerous offenses stemming from an incident where Ms. Dark had forcibly entered a neighbor's home, assaulted the woman and thereafter threatened her own roommate. Prior to that incident, Ms. Dark was employed as a Table Game Dealer at Parx Casino.

As a result of the incident, in March of 2015 Ms. Dark was found guilty of simple assault, possession of an instrument of crime and terroristic threats. She was sentenced to four years of probation and ordered, among other conditions, to participate in an intensive drug and alcohol treatment program.

As a result of the conviction, on September 23rd the Board suspended the Gaming Permit until at least the completion of her drug and alcohol treatment program. Ms. Dark is now requesting that suspension be lifted as she has completed her court-

ordered treatment. The OEC, as I stated, has no objection to the request and it has verified that in fact she successfully completed the treatment program. The matter is now ready for the Board's consideration.

#### CHAIRMAN:

Any questions or comments from the Board or executive ex-officio members? May I have a motion?

# MR. RYAN:

Mr. Chairman, I move that the Board issue an Order to approve the Petition of Shannon Dark to lift the suspension of her Gaming Employee Occupation Permit, as described by the Office of Chief Counsel (OCC).

#### MR. WOODS:

15 Second.

## CHAIRMAN:

All in favor?

18 ALL SAY AYE

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#### CHAIRMAN:

All opposed? The motion's adopted.

# ATTORNEY SHERMAN:

 $\label{eq:Next-presenting-withdrawals-and-reports} % \end{substitute} % \end{substitute$ 

# ATTORNEY COOK:

Several unopposed Petitions to Withdraw the Applications or Surrender the Credentials of individuals or businesses. The persons and entities subject to these Petitions --- and I'll provide this list to the court reporter at the end of the meeting. But the persons and entities subject to these Petitions are as follows; Victor Duarte, Forsythe Solutions Group, Inc., Kathryn Lever and Scott Staby. The OEC has no objection to any of these withdrawals or surrenders. And as a result, if the Board chooses to grant the requests it would be doing so without prejudice.

Before the Board votes on these matters, however, I would note for the record that with respect to Scott Staby, while Bally Gaming filed a Petition to Surrender Mr. Staby's Key Employee License and he is no longer employed by Bally, the OEC has determined that Mr. Staby has now accepted a position with Aristocrat Technologies that would require his Key Employee Licensure. Rather than having Mr. Staby surrender his Key Employee License to only turn around and submit another application, all the parties, including Bally, have agreed to let him keep his license and simply have it carry over to Aristocrat.

As a result, the OCC would recommend the Petition of Bally relative to Scott Staby be dismissed.

# CHAIRMAN:

Questions or comments from the Board?

5 May I have a motion?

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# MR. WOODS:

Mr. Chairman, I move that the Board issue Orders to approve the withdrawals and surrenders without prejudice except with respect to Bally Gaming's request to surrender the Key Employee License of Scott Staby, which should be dismissed.

# MR. FAJT:

13 Second.

#### CHAIRMAN:

All in favor?

ALL SAY AYE

## CHAIRMAN:

All opposed? The motion's adopted.

#### ATTORNEY COOK:

Next before the Board for consideration are two Reports and Recommendations received from the Office of Hearings and Appeals (OHA). These Reports and Recommendations, along with the evidentiary record for each hearing, have been provided to the Board in advance of this meeting. Additionally, in each case

the person subject to these Reports and Recommendations have been notified that the Board would be taking the matter up today and that they could come forward and briefly address the Board. If either of these persons are present, I would ask them to come forward when their matter is called if they are choosing to briefly address the Board.

The first Report and Recommendation pertains to Sean McNulty, who I believe is present today. On August 24th, 2015 the OEC filed a Complaint to place Mr. McNulty on the Exclusion List. A hearing in this matter was held on October 6th, 2015. The OEC appeared at the hearing offering testimony and other evidence, and Mr. McNulty also participated in the hearing pro se cross-examining OEC's witnesses and providing his own testimony.

According to OEC's witnesses, on March 9th, 2015 Mr. McNulty called Parx Casino to request a copy of his win/loss statement for the 2014 calendar year so that he could complete his taxes. Mr. McNulty spoke to a Player Services Manager who informed him that the address the casino had on record for him was not the same one he was requesting the statement to be sent to. And as a result, she could not immediately put the statement in the mail but would have to

confirm his new address.

According to this woman's testimony, upon receiving this information Mr. McNulty became angry, verbally abusive and threatened to shoot her. Subsequently, the Player Service Manager reported the incident to casino security and later the matter was referred to the Board's Casino Compliance Representatives and the Pennsylvania State Police. Thereafter, Mr. McNulty was charged criminally, pleaded guilty to disorderly conduct and was sentenced to not have contact with the victim or enter the Parx Casino.

In its petition and at the hearing, OEC also put evidence --- into evidence that Mr. McNulty had previously been ejected from Parx, Valley Forge and SugarHouse casinos for various incidents, including panhandling and initiating an argument with casino staff. During the hearing, Mr. McNulty testified that the Player Services Manager at Parx had misheard him and that he has a speech impediment due to his having previously suffered a stroke. Mr. McNulty testified that he told the woman that he was going to sue her, not shoot her.

Regarding his prior evictions from Parx and two other Pennsylvania casino, Mr. McNulty did not

dispute the allegations but did indicate that at least one, if not all three, of these casinos have since lifted their evictions.

This matter is now ready for the Board's consideration. As I indicated, Mr. McNulty is present and he's been told he can briefly address the Board up to about a five-minute period.

#### CHAIRMAN:

Mr. McNulty, would you please stand and be sworn as a witness?

11 -----

12 SEAN MCNULTY, HAVING FIRST BEEN DULY SWORN, TESTIFIED
13 AS FOLLOWS:

#### CHAIRMAN:

Please summarize your ---.

A. In short, the main incident for why they're trying to kick me out of the casinos is because they believe that I threatened to shoot someone. In fact, in February, about a month before, I had a stroke. I --- also I would like to argue that she gave inconsistent testimony in a couple different things. I'm going to read from the --- two little excerpts from where she gave inconsistent testimony, she changed --- actually changed her testimony at the

hearing that he's talking about.

First quote was I was asking her, when you asked

Dustin Miller --- I'm sorry. When you said you talked

to Dustin Miller, you said I hung up the phone

aggressively; correct? She said, correct. How can

you hang up a phone aggressively? She said, you could

hear the phone line that the phone was slammed.

Now we're going to go to page 36. I'm sorry.

Hold on one second. To page 35 of the testimony. I said, hold on. So you're saying --- so what you're saying is that it could have been despite the fact you just said you heard me hang up the phone, now you're saying it just hung up, it ended abruptly. So what you're saying is the call could've been lost and you can't actually hear the difference on the cell phone; correct? Correct. But the timing of the end of the conversation it seemed like the phone conversation was ended abruptly. She repeatedly, throughout the entire transcript, gave --- when she talked gave inconsistent testimony.

She stated on I believe it's page 23 --- sorry. Let me go over this real quick. She also admitted on page 21, so I had said to her, at the hearing you had slight variances on what you said I said. And which she said, at the criminal hearing, you quoted me

differently as to what was actually said. Repeatedly she changed her testimony, little bits and pieces of her testimony. She admits in the transcript that she has misquoted people. I tried to ask her how often she has misquoted people or misheard people and what they said. The Hearing Examiner had gotten in the conversation and basically said that everyone has, just like she did, but they wouldn't quantify how often it happened.

If she admits that she's misheard people and everyone has, everyone's had songs or something that they've heard that they're singing the words to and their friends correct them and say that's not the wording for the song. Very simply, you might have heard the song 40 times but it's the key lyrics. So you've heard that same thing 400 times you've heard it wrong. Is it possible over the phone line, which is notorious for many times having bad communications, is it possible? And do you can hear that I do slur my words a little bit. Not a lot, a little bit. I'm getting a lot better than what I was.

But the fact of the matter is, is it possible that someone could have misheard someone or misquoted someone? And I'm not saying that she intentionally is trying to cause me trouble, but the fact of the matter

is it's her word against mine, it's hearsay.

2.4

Pennsylvania has a law stating that they have the burden of proof, proving that it was said, and you can't prove it was said. You can say you believe that she believes it's being said, but you can't prove that it was said. The casino --- I mean, when you call the casino, it states the phone calls are recorded, not may be recorded but are recorded. There is no recording of the phone call. I have no way to prove that I didn't --- that I did or didn't say it.

But it would be kind of stupid to sit there and say I'm going to shoot you in two days. If you're going to threaten to shoot someone, wouldn't you threaten to shoot them then and not in two days? I mean, it's little things that I didn't understand how it got out so far.

In the court system, if someone makes a criminal complaint against someone the police have to follow-up and they have to press the charges if someone says I want to press charges. She said she wanted to press charges. The police had to go through with it, they didn't have a choice of saying is it believable or not believable. The police ---.

# CHAIRMAN:

Mr. McNulty, would you summarize now?

A. Okay. I'm sorry. In short, I didn't say what I was accused of saying. My other problem I had --- my other big problem I had was with Valley Forge Casino. I had a bet that was a \$500 bet, they said I gave a hand signal. When the Casino Control Commission reviewed the tape, which is the same day that they kicked me out, they said that I didn't give a hand signal and they advised the casino to give me the money.

When the Casino Control Commission made that recommendation, they said --- they came back to me and they said, look we have no power. We can't make them give the money to you, it's up to them. You can sue them and it'll take you two years. Eventually I had told everyone I could at the casino that they cheated me, that they were cheating me. The Casino Control Commission verified that what I said was true. So then they said, okay we'll give you your \$500.

#### CHAIRMAN:

But that's not this matter.

A. Right, right, right. But ---.

#### CHAIRMAN:

Don't do that. Just finish up what you have to say on this matter. Okay? We've been at this now for about eight minutes.

A. They said that there was three --- they said there were three matters why I was trying to get --- why they were trying to eject me.

#### CHAIRMAN:

Just finish, please.

A. That's the main one. I didn't say what I was accused of saying.

#### CHAIRMAN:

Okay.

10 A. And there is no proof of it.

# CHAIRMAN:

Okay. Thank you.

13 A. I can't prove or disprove it.

## CHAIRMAN:

Thank you very much.

16 A. I would appreciate it if you could allow me to continue going to the casinos. I enjoy them.

## CHAIRMAN:

I appreciate you for coming in and

- 20 stating your use to us. Thank you very much. You can
- 21 go have a seat.

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22 A. Thank you.

#### CHAIRMAN:

24 Are there any questions or comments from

25 the Board, anybody?

# MR. JEWELL:

I have one question, Steve, and it's to you. You had mentioned that there were other casinos involved that he was evicted from?

# ATTORNEY COOK:

Yes.

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# MR. JEWELL:

Valley Forge I take it was one?

# ATTORNEY COOK:

That is correct.

# MR. JEWELL:

And Parx of course because of the win/loss and the comment made. And the third?

# ATTORNEY COOK:

SugarHouse, I believe.

## MR. JEWELL:

And panhandling?

## ATTORNEY COOK:

One incident, and I don't know which matches up with which casino. But one was panhandling, one was credit punching which is essentially walking around looking for people that left credits behind on machines and playing those credits. And the third was the Valley Forge incident that ---.

20 1 CHAIRMAN: 2 Was he charged for the credit? 3 ATTORNEY COOK: I don't believe so. 4 5 CHAIRMAN: 6 Okay. MR. JEWELL: 8 So obviously to me there's a pattern 9 here, you know. 10 ATTORNEY COOK: 11 There's a pattern of disruptive behavior 12 over the course of time, yeah. 13 MR. JEWELL: 14 And the evictions from those other 15 casinos, did you say they were lifted? 16 ATTORNEY COOK: 17 At least SugarHouse was lifted. I'm not 18 sure if all three were lifted or not. 19 MR. JEWELL: 20 Parx is not at this point? 21 ATTORNEY COOK: 22 Parx, he's excluded from Parx. And 23 actually it's a court order excluded from Parx also as 24 a result of the criminal charges that came from this 25 incident.

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1 MR. JEWELL: 2 Thank you very much. 3 MR. RYAN: If I can briefly? 4 5 CHAIRMAN: 6 Yes. MR. RYAN: 8 Mr. Cook, the Hearing Officer in this 9 matter found the witness from the casino to be 10 credible at that hearing; is that correct, sir? 11 ATTORNEY COOK: 12 Yeah, the Hearing --- the Report and Recommendation basically acknowledges that this is a 13 he said-she said incident but found the Parx 14 15 employee's testimony much more credible than Mr. 16 McNulty's. 17 MR. RYAN: 18 Thank you. 19 CHAIRMAN: 20 Thank you. Anything else? May I have a 21 motion? 22 MR. JEWELL: 23 Mr. Chairman, I move that the Board 24 adopt the Report and Recommendation issued by the OHA

regarding Sean McNulty's placement on the PGCB

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Involuntary Exclusion List as described by the OCC.

MR. MCCALL:

Second.

CHAIRMAN:

All in favor?

ALL SAY AYE

CHAIRMAN:

All opposed? The motion's adopted.

ATTORNEY COOK:

The second and final Report and Recommendation before the Board today pertains to Matthew McVey. On October 9th, 2015 Mr. McVey submitted a Gaming Employee Permit Application seeking work as a Table Games Dealer at the Harrah's Casino. Mr. McVey disclosed his criminal history on his application, however based upon the nature and recency of his criminal record, the OEC issued a Notice of Recommendation of Denial. Specifically, Mr. McVey had four DUI arrests between 1999 and 2014, three of which resulted in convictions and one ARD. Additionally, in 2012 Mr. McVey was convicted of simple assault, terroristic threats and possession of drug paraphernalia after an altercation with a neighbor.

A hearing in this matter was held on December 22nd, 2015. Mr. McVey and the OEC both

appeared at the hearing offering testimony and documentary evidence. Mr. McVey testified that he has stopped using alcohol, attends NA and AA meetings every week and speaks to his sponsor daily. He further testified that he is compliant with the conditions of his probation and has been clean and sober since, at this point in time, six months ago, or August 2015. He finally testified that he's currently employed full time as the manager of an Italian restaurant but seeks to work as a Dealer as a second source of income.

Thereafter, a Report and Recommendation was issued in which the Hearing Officer recommends that notwithstanding Mr. McVey's long history of criminal cases involving alcohol and substance abuse that he be granted a Gaming Permit given his efforts at recovery. And that is the recommendation before the Board today.

#### CHAIRMAN:

Any questions or comments from the Board? May I have a motion?

#### MR. MCCALL:

Mr. Chairman, I move that the Board adopt the Report and Recommendation issued by the OHA regarding Matthew McVey's Gaming Employee Occupation

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   Permit as described by the OCC.
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                  MR. MOSCATO:
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                  Second.
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                  CHAIRMAN:
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                  All in favor?
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   ALL SAY AYE
                  CHAIRMAN:
                  All opposed? The motion's adopted.
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                  ATTORNEY SHERMAN:
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                  And that concludes all matters of the
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   OCC.
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                  CHAIRMAN:
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                  Sue Hensel, Bureau of Licensing.
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                  MS. HENSEL:
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                  Thank you, Chairman Barasch and members
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   of the Board. Before the Board today will be motions
   regarding 631 Principal Key Gaming and Non-Gaming
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   Employees. In addition, there will be the
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   consideration of 16 Gaming Service Provider
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   Applicants. The first matter for your consideration
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   is the approval of Principal and Key Employee
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   Licenses. Prior to this meeting the Bureau of
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   Licensing provided you with a Proposed Order for two
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   Principal and one Key Employee Licenses. I ask that
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   the Board consider the Order approving these licenses.
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# 25 1 CHAIRMAN: 2 Comments from Enforcement Counsel? 3 ATTORNEY PITRE: Enforcement Counsel has no objection. 4 5 CHAIRMAN: 6 Questions from the Board? May I have a 7 motion? MR. MOSCATO: 9 Mr. Chairman, I move that the Board 10 approve the issuance of Principal and Key Employee 11 Licenses as described by the Bureau of Licensing. 12 MR. RYAN: Second. 13 14 CHAIRMAN: 15 All in favor? ALL SAY AYE 16 17 CHAIRMAN: 18 All opposed? The motion's adopted. 19 MS. HENSEL: 20 Also, there are Temporary Key Employee Licenses for your consideration. Prior to this 21 22 meeting, the Bureau of Licensing provided you with an 23 Order regarding the issuance of temporary licenses for 24 seven Key Employees. I ask that the Board consider

the Order approving the licenses.

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# 26 1 ATTORNEY PITRE: 2 Enforcement Counsel has no objection. 3 CHAIRMAN: Questions or comments from the Board? 4 5 May I have a motion? 6 MR. RYAN: Mr. Chairman, I move that the Board 8 approve the issuance of Temporary Key Employee 9 Credentials as describe by the Bureau of Licensing. 10 MR. WOODS: 11 Second. 12 CHAIRMAN: All in favor? 13 14 ALL SAY AYE 15 CHAIRMAN: 16 All opposed? The motion's adopted. 17 MS. HENSEL: 18 Next, there are Gaming Permits and Non-19 Gaming Registrations. Prior to this meeting the 20 Bureau of Licensing provided you with a list of 331 21 individuals to whom the Bureau has granted temporary 22 or full occupation permits and 210 individuals to whom 23 the Bureau has granted registrations under the

that the Board consider a motion approving the Order.

authority delegated to the Bureau of Licensing.

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## ATTORNEY PITRE:

Enforcement Counsel has no objections.

#### CHAIRMAN:

Thank you. Questions or comments from the Board? May I have a motion?

# MR. WOODS:

Mr. Chairman, I move that the Board approve the issuance of Gaming Employee Permits and Non-Gaming Employee Registration as described by the Bureau of Licensing.

# MR. FAJT:

Second.

# CHAIRMAN:

14 All in favor?

15 ALL SAY AYE

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## CHAIRMAN:

All opposed? The motion's adopted.

## MS. HENSEL:

Next there are recommendations of denial for three Gaming Employee Applicants. In each case the Applicant failed to request a hearing within the specified time frame. The Bureau of Licensing has provided you with Orders addressing the Applicants who the OEC has recommended for denial. I ask that the Board consider a motion approving the denials.

28 1 CHAIRMAN: 2 Comments from Enforcement Counsel? 3 ATTORNEY PITRE: 4 Enforcement Counsel continues to request 5 denial in each instance. 6 CHAIRMAN: Thank you. Questions or comments from 8 May I have a motion? the Board? 9 MR. FAJT: 10 Mr. Chairman, I move that the Board deny 11 the Gaming Employee Applications as described by the 12 Bureau of Licensing. 13 MR. JEWELL: 14 Second. 15 CHAIRMAN: 16 All in favor? 17 ALL SAY AYE 18 CHAIRMAN: 19 All opposed? The motion's adopted. 20 MS. HENSEL: 21 Also, for your consideration are 22 Withdrawal Requests for Gaming and Non-Gaming 23 Employees. In each case the license or permit is no 24 longer required. For today's meeting I've provided 25 the Board with a list of 59 Gaming and 18 Non-Gaming

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   Employee Withdrawals for approval. I ask that the
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   Board consider the Orders approving the list of
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   withdrawals.
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                  CHAIRMAN:
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                  Enforcement Counsel?
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                  ATTORNEY PITRE:
                  Enforcement Counsel has no objection.
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                  CHAIRMAN:
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                  Thank you. Questions or comments from
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   the Board? May I have a motion?
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                  MR. JEWELL:
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                  Mr. Chairman, I move that the Board
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   approve the Withdrawals as described by the Bureau of
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   Licensing.
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                  MR. MCCALL:
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                  Second.
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                  CHAIRMAN:
                  All in favor?
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   ALL SAY AYE
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                  CHAIRMAN:
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                  All opposed? The motion's adopted.
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                  MS. HENSEL:
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                  In addition, we have an Order to certify
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   the following Gaming Service Provider Applicants.
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   Couristan, Inc., Ebenisterie Beaubois, LTE,
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30 Illuminating Concepts, LTD doing business as 1 2 Illuminating Concepts. I ask that the Board consider 3 the Order approving these Gaming Services Providers for Certification. 4 5 CHAIRMAN: 6 Comments from Enforcement Counsel? ATTORNEY PITRE: 8 Enforcement Counsel has no objection. 9 CHAIRMAN: 10 Thank you. Questions or comments from 11 the Board? May I have a motion? 12 MR. MCCALL: 13 Mr. Chairman, I move that the Board 14 issue an Order to approve the Applications for Gaming 15 Service Provider Certification as described by the Bureau of Licensing. 16 17 MR. MOSCATO: 18 Second. 19 CHAIRMAN: 20 All in favor? 21 ALL SAY AYE 22 CHAIRMAN: 23 All opposed? The motion's adopted. 2.4 MS. HENSEL: 25 Finally, for your consideration are

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Gaming Service Provider Registrations. The Bureau of
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   Licensing provided you with an Order and an attached
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   list of 13 registered Gaming Service Provider
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   Applicants. I ask that the Board consider the Order
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   registering these Gaming Service Providers.
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                  CHAIRMAN:
                  Enforcement Counsel?
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                  ATTORNEY PITRE:
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                  Enforcement Counsel has no objection.
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                  CHAIRMAN:
                  Questions or comments from the Board?
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   May I have a motion?
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                  MR. MOSCATO:
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                  Mr. Chairman, I move that the Board
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   issue an Order to approve the applications for Gaming
   Service Provider Registration, as describe by the
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   Bureau of Licensing.
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                  MR. RYAN:
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                  Second.
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                  CHAIRMAN:
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                  All in favor?
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   ALL SAY AYE
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                  CHAIRMAN:
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                  All opposed? The motion's adopted.
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                  MS. HENSEL:
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That concludes the matters of the Bureau of Licensing.

#### CHAIRMAN:

Thank you, Sue.

#### ATTORNEY PITRE:

Mr. Chairman, members of the Board, the OEC will present 17 matters for the Board's consideration today consisting of one Consent Agreement, six Revocations and ten Involuntary Exclusions. The first matter, OEC matter, on the agenda is a Consent Agreement that has been negotiated between the OEC and Presque Isle Downs, Inc. The matter will be presented by Assistant Enforcement Counsel, Kim Adams.

#### ATTORNEY ADAMS:

Mr. Chairman, members of the Board, good morning. Kim Adams, OEC. The next Consent Agreement for your consideration is between the OEC and Presque Isle Downs Casino whereby Presque Isle acknowledges that it improperly stored and secured PGCB-approved roulette equipment and other associated equipment by leaving the equipment in a room being used for roulette dealer training but did not have a proper locking mechanism.

On October 13th, 2015 the Bureau of

Casino Compliance discovered a roulette gaming table with corresponding layout associated equipment in an unsecured room on the gaming floor. Casino Compliance had given Presque Isle permission to temporarily use this room for training on June 17th through June 18th of 2015, August 2nd, 2015, August 13th, 2015, August 16th, 2015 and August 17th of 2015. However, it was discovered the room that was being utilized as a dealer training room was not properly secured and the equipment was not removed from the room once training was completed. Specifically, the door did not have a locking mechanism and the corresponding electronic swipe was not activated. Additionally, from September 30th, 2015 through October 13th, 2015 six separate Presque Isle employees were discovered having opened the door and/or entered the room without having to use a key.

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The parties have entered into this agreement that within ten days of the date of the Board Order adopting this agreement, Presque Isle shall pay a civil penalty in the amount of \$10,000. The parties have also agreed that within ten days of the date of the Board Order, Presque Isle shall pay \$2,500 for the costs incurred by the OEC and other staff in connection with this matter.

At this time, the OEC is recommending that the Board approve this Consent Agreement. And if you have any questions, we'd be happy to answer them at this time.

# CHAIRMAN:

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Who's here on behalf on Presque Isle? Could you identify yourselves?

#### ATTORNEY DIEHL:

Certainly. Tom Diehl, D-I-E-H-L, in-house counsel for Presque Isle Downs and to my right is Kevin O'Sullivan who's our assistant GM. Although we do not intend to make any independent presentation, should any technical questions arise that I certainly would not be able to address, Mr. O'Sullivan's here for that purpose.

# CHAIRMAN:

Just to cover in case there are questions, if you, sir, would stand and be sworn.

#### MR. O'SULLIVAN:

Absolutely.

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22 KEVIN O'SULLIVAN, CALLED AND SWORN.

23 -----

# CHAIRMAN:

Thank you. Are there any questions or

comments from the Board?

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#### MR. JEWELL:

Just one question from me. And, Cyrus or Kim, I don't know if you can answer this. The significance of why it's so important to keep that --- I'm not going --- who's going to go in that room and gamble or play with that roulette wheel? I just don't --- I just need to understand the significance of why it's so critical that it be locked up.

# ATTORNEY PITRE:

Because a patron can walk in and remove

--- there were nonvalue chips in there that would be
used for training. Those items could be removed; the
roulette ball could be removed. You know, aside from
that, you know, it creates an avenue where casino
table games or equipment is improperly stored and
where the general public can access those rooms. It's
just to keep it secure and to ensure that there's no
nefarious activity that's occurring by removing
different things or counterfeiting those things after
they'd been removed from that space.

#### MR. JEWELL:

Thank you, Cyrus.

## CHAIRMAN:

May I have a motion?

# MR. RYAN:

Mr. Chairman, I move that the Board issue an Order to approve the Consent Agreement between the OEC and Presque Isle Downs, Inc. as described by the OEC.

# MR. WOODS:

Second.

#### CHAIRMAN:

All in favor?

10 ALL SAY AYE

# CHAIRMAN:

All opposed? The motion's adopted.

13 Thank you, gentlemen, for coming.

# ATTORNEY PITRE:

The next six matters on the agenda consist of enforcement actions in which the OEC has filed a complaint seeking the revocation of registrations and permits that have been issued by the Board. Each complaint has been filed with the Board's OHA and properly served upon the individual named in the Complaint. The individual named in the Complaint failed to respond within 30 days, as required by Board regulation. As a result, the OEC filed a request for default judgement and properly served the default judgement upon each individual. Thereby the facts in

each complaint are deemed admitted.

All filed documents have been provided to the Board and the matters are presently ripe for the Board's consideration. In each instance we'll read a brief summary of the facts and request the appropriate Board Action.

#### ATTORNEY STUART:

Thank you. Glenn Stuart for the OEC.

It's S-T-U-A-R-T. Next for the Board's consideration is the revocation of Caree Dodson's Non-Gaming Registration. On January 3rd, 2015 Ms. Dodson used false identification to attempt to obtain a casino access pass at the Valley Forge Casino and Resort in furtherance of her attempt to gain access to Valley Forge's gaming floor. At the time, Ms. Dodson was employed in the valet department at Harrah's Philadelphia. As such, the OEC requests the Board revoke the Non-Gaming Registration of Caree Dodson.

#### CHAIRMAN:

Questions or comments from the Board.

May I have a motion?

#### MR. JEWELL:

Mr. Chairman, I move that the Board issue an Order to approve the revocation of Caree Dodson's Non-Gaming Employee Registration as described

38 by the OEC. 1 2 MR. MCCALL: 3 Second. 4 CHAIRMAN: 5 All in favor? 6 ALL SAY AYE CHAIRMAN: All opposed? The motion's adopted. 8 9 ATTORNEY TEPPER: 10 Good morning, Chairman Barasch and 11 members of the Board. David Tepper, T-E-P-P-E-R, for 12 the OEC. I have for your consideration today the 13 complaint to revoke a Non-Gaming Employee Registration of Sheila Mirrer. Ms. Mirrer drove under the 14 15 influence of alcohol with three children and a hitchhiker in her vehicle, during which time she was 16 involved in a serious accident. She has been charged 17 18 --- criminally charged, and convicted regarding this 19 offense. At this point the OEC requests that her Non-20 Gaming Employee Registration be revoked. 21 CHAIRMAN: 22 Questions or comments from the Board? 23 May I have a motion?

### MR. MCCALL:

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Mr. Chairman, I move that the Board

issue an Order to approve the revocation of Sheila
Mirrer's Non-Gaming Employee Registration as described
by the OEC.

#### MR. MOSCATO:

Second.

## CHAIRMAN:

All in favor?

ALL SAY AYE

#### CHAIRMAN:

All opposed? The motion's adopted.

## ATTORNEY CROHE:

Good morning, Chairman and members of the Board. John Crohe for the OEC, C-R-O-H-E. The next matter before the Board is a request to consider the revocation of Sally Green's Gaming Permit issued in connection with her position at Hollywood Casino, her former position. Ms. Green was arrested and found to be in possession of marijuana plants and subsequently pleaded guilty to possession with intent to manufacture as well as other drug-related charges. The OEC now requests that the Board revoke the Gaming Permit held by Sally Green.

#### CHAIRMAN:

24 Questions or comments from the Board?

25 May I have a motion?

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# 1 MR. MOSCATO: 2 Mr. Chairman, I move that the Board 3 issue an Order to approve the revocation of Sally Green's Gaming Employee Occupation Permit as described 4 5 by the OEC. 6 MR. RYAN: Second. 8 CHAIRMAN: 9 All in favor? 10 ALL SAY AYE 11 CHAIRMAN: 12 All opposed? The motion's adopted. 13 ATTORNEY ADAMS: The next matter for the Board's 14 15 consideration is the revocation of Anthony Havard's 16 Gaming Employee Permit. While employed as a Security 17 Officer at Mohegan Downs Pocono, Mr. Havard while 18 underage and still in his work uniform gamed at slots 19 and consumed alcohol. The OEC is requesting Mr. 20 Havard's Gaming Employee Permit be revoked at this 21 time. 22 CHAIRMAN: 23 Questions or comments from the Board?

24 May I have a motion?

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MR. RYAN:

Mr. Chairman, I move that the Board 1 2 issue an Order to approve the revocation of Anthony 3 Havard's Gaming Employee Occupation Permit as 4 described by the OEC. 5 MR. WOODS: Second. 6 CHAIRMAN: 8 All in favor? 9 ALL SAY AYE 10 CHAIRMAN: 11 All opposed? The motion's adopted. 12 ATTORNEY ADAMS: The next matter for the Board's 13 14 consideration is the revocation of Shane Krause's Non-15 Gaming Employee Registration. Mr. Krause was denied a G-2 Employee Permit for failing to be compliant with 16 17 the Department of Revenue. At this time, Mr. Krause 18 is still not compliant with the Department of Revenue 19 and the OEC is requesting Mr. Krause's Non-Gaming 20 Employee Permit be revoked. 21 CHAIRMAN: 22 Questions or comments from the Board. 23 May I have a motion? 24 MR. WOODS: 25 Mr. Chairman, I move that the Board

42 issue an Order to approve the revocation of Shane 1 2 Krause's Non-Gaming Employee Registration as described 3 by the OEC. 4 MR. FAJT: 5 Second. 6 CHAIRMAN: 7 All in favor? 8 ALL SAY AYE 9 CHAIRMAN: 10 All opposed? The motion's adopted. 11 ATTORNEY ADAMS: The next matter for the Board's 12 consideration is the revocation of Arvindbhai Patel's 13 14 Non-Gaming Employee Registration for failing to 15 properly turn over a \$500 chip that was dropped by a patron. At this time, the OEC is requesting Mr. 16 17 Patel's Non-Gaming Employee Registration be revoked. 18 CHAIRMAN: 19 Questions or comments from the Board? 20 May I have a motion? 21 MR. FAJT: 22 Mr. Chairman, I move this Board issue an 23 Order to approve the revocation of Arvindbhai Patel's

Non-Gaming Employee Registration as described by the

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OEC.

## MR. JEWELL:

Second.

## CHAIRMAN:

All in favor?

5 ALL SAY AYE

## CHAIRMAN:

All opposed? The motion's adopted.

## ATTORNEY PITRE:

The remaining ten matters on the agenda consist of enforcement actions in which the OEC has filed a Petition seeking the involuntary exclusion of individuals whose presence in a licensed facility are inimical to the interests of the Commonwealth and our Licensed Gaming therein.

In each instance the Petition for Exclusion has been filed with the OHA and properly served upon the individual named in the Petition. The individual named in the Petition failed to file a response within 30 days as required by Board regulations. And as a result the OEC has filed a request for default judgement in each instance, and properly served the same upon each individual.

All filed documents have been provided to the Board and the matters are presently ripe for Board consideration. Once again, we'll read a brief

summary of the facts and request appropriate Board action.

## ATTORNEY ADAMS:

The next matter for the Board's consideration is the placement of Anthony Havard on the Involuntary Exclusion List. Mr. Havard gamed and consumed alcohol at Mohegan Sun Pocono when he was underage, employed by Mohegan Sun and still in his uniform, the same individual who we just asked to have his gaming Employee Permit revoked. At this time the OEC is requesting Mr. Havard be placed on the Involuntary Exclusion List.

## CHAIRMAN:

Questions or comments from the Board?

15 May I have a motion?

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### MR. JEWELL:

Mr. Chairman, I move that the Board issue an Order to approve the addition of Anthony Havard to the PGCB Involuntary Exclusion List, as described by the OEC.

## MR. MCCALL:

Second.

#### CHAIRMAN:

All in favor?

25 ALL SAY AYE

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All opposed? The motion's adopted.

## ATTORNEY CROHE:

Good morning again. John Crohe for the OEC. The next matter before the Board is a request to consider the placement of Arjun Dulal on the Board's Involuntary Exclusion List. Mr. Dulal had his Non-Gaming Registration revoked after capping bets while gaming at Meadows Casino and subsequently pleading guilty to summary disorderly conduct. The OEC now requests that the Board add Mr. Dulal to the Board's Excluded Person's List.

#### CHAIRMAN:

Is there comments from the Board? May I have a motion?

## MR. MCCALL:

Mr. Chairman, I move that the Board issue an Order to approve the addition of Arjun Dulal to the Pennsylvania Gaming Control Board Involuntary Exclusion List as described by the OEC.

#### MR. MOSCATO:

Second.

#### CHAIRMAN:

All in favor?

25 ALL SAY AYE

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All opposed? Motion is adopted.

## ATTORNEY CROHE:

The next matter before the Board is a request to consider the placement of Craig Smith on the Board's Involuntary Exclusion List. Mr. Smith capped the bets --- and capped the bets of another player while gaming at Meadows Casino, gave a false name to Pennsylvania State Police and subsequently pleaded guilty to providing false identification to law enforcement. The OEC now requests that the Board add Mr. Smith the Board's Excluded Person's List.

## CHAIRMAN:

14 Questions or comments from the Board?

15 May I have a motion?

### MR. MOSCATO:

Mr. Chairman, I move that the Board issue an Order to approve the addition of Craig Smith the PGCB Involuntary Exclusion List, as described by the OEC.

#### MR. RYAN:

Second.

#### CHAIRMAN:

All in favor?

25 ALL SAY AYE

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All opposed? The motion's adopted.

## ATTORNEY CROHE:

The next matter before the Board is a request to consider the placement of Ashley Zang on the Board's Involuntary Exclusion List. Ms. Zang gamed at Hollywood Casino for approximately one hour and 13 minutes while two minors, ages 13 and 1, were left unattended in her vehicle in the casino parking lot. The OEC now requests that the Board add Ms. Zang to the Board's excluded person's list.

#### CHAIRMAN:

Questions or comments from the Board?

#### MR. FAJT:

One question, Mr. Chairman. Mr. Crohe,

16 what time of the day was this event?

## ATTORNEY CROHE:

18 This occurred approximately nine o'clock

19 at night.

## MR. FAJT:

Thank you.

#### 22 CHAIRMAN:

Other questions or comments? May I have

24 a motion?

MR. RYAN:

Mr. Chairman, I move that the Board 1 2 issue an Order to approve the addition of Ashley Zang 3 to the PGCB Involuntary Exclusion List, as described by the OEC. 5 MR. WOODS: Second. 6 CHAIRMAN: All in favor? 8 9 ALL SAY AYE 10 CHAIRMAN: 11 All opposed? The motion's adopted. 12 ATTORNEY MILLER: Good morning, Chairman Barasch, members 13 of the Board. Dustin Miller on behalf of the OEC. 14 15 The next two matters are related and arise out of the same fact pattern. With the permission of the Board 16 17 I'd like to read one set of facts for both and then 18 request placement on the Exclusion List for each 19 individual. 20 CHAIRMAN: 21 That's fine. 22 ATTORNEY MILLER: 23

Thank you. On October 1st, 2015 Joseph Fallacaro and John Martinelli were criminally charged by Pennsylvania State Police after investigation at

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Parx Casino. Pennsylvania State Police investigation revealed that Mr. Fallacaro, a patron of Parx Casino, had loaned Mr. Martinelli an unknown amount of money in order to fund Mr. Martinelli's illegal sports gambling. In exchange, Mr. Martinelli as the Director of Player Services at Parx Casino, arranged for Mr. Fallacaro to have access to free slot machine credit at Parx Casino in order to repay his personal debt. It is also alleged that Mr. Martinelli rigged the promotional contest at Parx Casino resulting in Mr. Fallacaro winning the grand prize. This conduct occurred between September 2012 and September of 2013.

Mr. Martinelli was forced to resign his employment at Parx Casino in September 19th, 2013 after discovery of his actions. Both Mr. Fallacaro and Mr. Martinelli have been charged with multiple theft-related offenses. Those charges remain pending at this time. The OEC filed a Petition to place Mr. Fallacaro on the Exclusion List on October 23rd, 2015. Based upon the foregoing, the OEC asks that the Board place Joseph Fallacaro on the Board's Excluded Person's List.

#### CHAIRMAN:

Questions or comments from the Board.

25 May I have a motion?

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## 1 MR. WOODS: 2 Mr. Chairman, I move that the Board 3 issue an Order to approve the addition of Joseph 4 Fallacaro to the PGCB Involuntary Exclusion List, as 5 described by the OEC. 6 MR. FAJT: Second. 8 CHAIRMAN: 9 All in favor?

10 ALL SAY AYE

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## CHAIRMAN:

All opposed? The motion's adopted.

## ATTORNEY MILLER:

Likewise, the OEC filed a petition to place Mr. Martinelli on the Exclusion List on October 23rd, 2015. Based upon the foregoing, the OEC asks that the Board place John Martinelli on the Board's Excluded Person's List.

#### CHAIRMAN:

Questions or comments from the Board?

21 May I have a motion?

#### MR. JEWELL:

Mr. Chairman, I move that the Board issue an Order to approve the addition of John Martinelli to the PGCB Involuntary Exclusion List as

51 described by the OEC. 1 2 MR. MCCALL: 3 Second. 4 CHAIRMAN: 5 All in favor 6 ALL SAY AYE CHAIRMAN: All opposed? The motion's adopted. 8 9 ATTORNEY MILLER: 10 The next matter today is a request for 11 placement on the Board's Excluded Person's List involving Peter Maicher. The OEC filed a Petition to 12 13 place Mr. Maicher on the Exclusion List on December 14 1st, 2015 for being criminally charged for operating a 15 business involving illegal gambling devices, specifically video poker machines in bars and taverns 16 17 in the City of Philadelphia. Based upon the 18 foregoing, the OEC asks that the Board place Peter 19 Maicher on the Board's Excluded Person's List.

## CHAIRMAN:

Questions or comments from the Board?

May I have a motion?

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#### MR. FAJT:

24 Mr. Chairman, I move that the Board 25 issue an Order to approve the addition of Peter

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Maicher to the PGCB Involuntary Exclusion List as described by the OEC.

MR. JEWELL:

Second.

CHAIRMAN:

All in favor?

ALL SAY AYE

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#### CHAIRMAN:

All opposed? The motion's adopted.

## ATTORNEY FENSTERMAKER:

Good morning, Chairman Barasch, members

12 of the Board. My name is Cassandra Fenstermaker,

13 F-E-N-S-T-E-R-M-A-K-E-R. We have for your

14 consideration a Petition to include Joseph Bestman as

15 | a result of his actions while patronizing Harrah's

16 | Philadelphia Casino and Racetrack on two separate

17 occasions. One incident involving a physical

18 | altercation with another patron and the other involved

19 bet capping. As a result, the OEC requests that

20 | Joseph Bestman be added to the Board's Involuntary

21 Exclusion List.

#### CHAIRMAN:

Questions or comments from the Board?

24 | May I have motion?

MR. MCCALL:

Mr. Chairman, I move that the Board issue an Order to approve the addition of Joseph Bestman to the Pennsylvania Gaming Control Board Involuntary Exclusion List as described by the OEC.

## MR. MOSCATO:

Second.

## CHAIRMAN:

All in favor.

ALL SAY AYE

#### CHAIRMAN:

All opposed. The motion's adopted.

## ATTORNEY ROLAND:

Good morning, Mr. Chairman, members of the Board. Michael Roland, R-O-L-A-N-D, with the OEC. Next we have a request to place Christopher Jenkins on the Involuntary Exclusion List. Mr. Jenkins was observed capping his bets while playing Blackjack at Sands Casino. He was charged by the Pennsylvania State Police with knowingly by trick or fraud to win or reduce loss, that's under our Gaming Act. And with theft by deception and false identification to law enforcement officers. The charges are all still pending and the matter is now before the Board to consider the placement of Christopher Jenkins on the Board's Involuntary Exclusion List.

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Questions or comments from the Board?

May I have a motion?

## MR. MOSCATO:

Mr. Chairman, I move that the Board issue an Order to approve the addition of Christopher Jenkins to the PGCB Involuntary Exclusion List as described by the OEC.

## MR. RYAN:

10 Second.

## CHAIRMAN:

All in favor?

13 ALL SAY AYE

#### CHAIRMAN:

All opposed? The motion's adopted.

## ATTORNEY ROLAND:

Next for your consideration is a

Petition to place Bernard Malatesta on the Board's

Exclusion List. Mr. Malatesta has stolen vouchers on

at least four occasions from three separate casinos in

Pennsylvania. And at this time the OEC requests that

Bernard Malatesta be placed on the Board's Exclusion

List.

## CHAIRMAN:

Any questions or comments from the

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   Board? May I have a motion?
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                  MR. RYAN:
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                  Mr. Chairman, I move that the Board
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   issue an Order to approve the addition of Bernard
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   Malatesta to the PGCB Involuntary Exclusion List as
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   described by the OEC.
                  CHAIRMAN:
                  Second?
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                  MR. WOODS:
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                  Second.
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                  CHAIRMAN:
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                  Thank you. All in favor?
   ALL SAY AYE
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                  CHAIRMAN:
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                  All opposed? The motion's adopted.
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                  ATTORNEY PITRE:
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                  Thank you. That concludes our business.
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                  CHAIRMAN:
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                  Thank you very much. That concludes
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   today's meeting. Our next scheduled meeting will be
   held on Wednesday, March 30th. The meeting will begin
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   at 10:00 a.m. in this room. Are there any other final
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   comments from the Board? May I have a motion for
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   adjournment?
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                  MR. WOODS:
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                    So moved.
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                   MR. FAJT:
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                    Second.
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                    CHAIRMAN:
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                   All in favor?
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   ALL SAY AYE
                    CHAIRMAN:
                   All opposed? The motion's adopted.
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                 MEETING CONCLUDED AT 10:47 A.M.
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#### CERTIFICATE

I hereby certify that the foregoing proceedings, meeting held before Chairman Barasch was reported by me on 3/2/16 and that I, Corey Elizabeth Summers, read this transcript, and that I attest that this transcript is a true and accurate record of the proceeding.

Court Reporter

Corey Elizabeth Summers