

ABBREVIATED APPLICATION FOR A LICENSED MANUFACTURER TO APPLY FOR AN ADDITIONAL MANUFACTURER LICENSE

PLEASE READ ALL INSTRUCTIONS CAREFULLY. AS PART OF THE INVESTIGATORY PROCESS YOU MAY BE ASKED TO SUPPLY ADDITIONAL DOCUMENTS OR INFORMATION.

INSTRUCTIONS

The purpose of this abbreviated application is to allow the Pennsylvania Gaming Control Board (Board) to abbreviate the Manufacturer license application requirements for any person who is currently licensed as a manufacturer by the Board under 4 Pa C.S. §1317.1.

Completing this form:

- 1. This form should be completed by a manufacturer currently licensed by the Board who is seeking an additional manufacturer license.
- 2. You must make accurate statements and include all material facts. Any misrepresentation, falsification or omission or the failure to provide requested information, may result in the denial of your request for the Board to use an abbreviated process to consider the issuance of a license.
- 3. All entries on this form, except signatures, must be typed or printed in block lettering using dark ink. If your form is not legible, it will not be accepted.
- 4. If you make any modification to the pre-printed questions or information contained in this form, your abbreviated application will be rejected. Once your application is accepted, it becomes the property of the Board and will not be returned.
- 5. The original and one paper copy of the application must be sent to the Pennsylvania Gaming Control Board, Bureau of Licensing, 303 Walnut Street, 5th floor, Commonwealth Tower, Harrisburg, Pennsylvania 17101.
- 6. Retain a completed copy of your abbreviated application package for your own records.
- 7. A license, permit, certification or registration issuance, renewal or other approval issued by the Board is a revocable privilege. No person holding a license, permit, certification or registration, renewal, or other approval is deemed to have any property rights related to the license, permit, certification or registration.

Fees:

- 1. There are no application fees for any manufacturer type other than VGT Manufacturer which is a \$50,000 application fee. Application fees are due with the submission of this application.
- 2. License fees are due prior to the issuance of a manufacturer license. License fees can be found on the Board's website at: https://gamingcontrolboard.pa.gov/files/licensure/applications/Schedule_of_Fees.pdf
- 3. There may be costs and expenses incurred by the Board in its processing and investigation of the abbreviated application, which must be reimbursed to the Board.
- 4. Fees must be paid by money order or check made payable to the "Pennsylvania Gaming Control Board." Cash will not be accepted by the Board.

| APPLICANT'S BUSINESS NAME | | | | | | |
|---|------------------------------|---------|--------------------------------------|----------------------------|--|--|
| | | | TION, CHARTER, BYLAWS, PARTNERSHIP A | GREEMENT OR OTHER OFFICIAL | | |
| DOCUMENTS (SPELL OUT COMPLETE NAME, | , DO NOT USE ABBREVIATIONS). | • | | | | |
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| T | A (((DDAI)) AI | | | | | |
| TRADE NAME(S) AND DOING BUSINESS | AS ("DBA") NAMES | | | | | |
| | | | | | | |
| | | NTACT | | | | |
| FIRST NAME | MIDDLE NAME | L | AST NAME | SUFFIX (JR., SR., ETC.) | | |
| | | | | | | |
| TITLE | | | INDIVIDUAL EMAIL ADDRESS | | | |
| | | | | | | |
| PHONE NUMBER | | | Fax Number | | | |
| () | | | () | | | |
| | LICENSE | F'S MΔ | IN ADDRESS | | | |
| Address Line 1 | LIOLINGE | LOMA | III TIBRESO | | | |
| | | | | | | |
| Address Line 2 | | | | | | |
| | | | | | | |
| Сіту | | STATE | PROVINCE | POSTAL CODE | | |
| | | | | | | |
| Country | | | EMAIL ADDRESS | | | |
| | | | | | | |
| PHONE NUMBER | | | FAX NUMBER | | | |
| () | | () | | | | |
| | | | | | | |
| GID/DOCKET #: | _ EXPIRATION DATE OF | PENNS | YLVANIA M ANUFACTURER LICENSE | | | |
| | | | | | | |
| TYPE OF LICENSE APPLICANT CURRENTLY HOLDS | | | | | | |
| PROVIDE THE TYPE OF MANUFACTURER LICENSES THE APPLICANT CURRENTLY HOLDS IN PENNSYLVANIA AND THE DATE OF BOARD APPROVAL: | | | | | | |
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| | | APPLICA | ANT IS APPLYING FOR | | | |
| SLOT MACHINE MANUFACTURER | | | | | | |
| ☐ TABLE GAME MANUFACTURER | | | | | | |
| □ VGT MANUFACTURER | | | | | | |
| ☐ INTERACTIVE GAMING MANUFACTURER | | | | | | |
| □ SPORTS WAGERING MANUFACTURER | | | | | | |
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Appendices

The appendices are documents the applicant must provide or create. The appendices are not represented in the application questions or its schedules or addenda. Each appendix must indicate the appendix number as listed below.

All Manufacturer Applicants

| | Appendix 1: Description of the products and/or business intended to be performed in the Commonwealth. | | | |
|--------|---|--|--|--|
| | Appendix 2: Current ownership table of organization. | | | |
| | Appendix 3: Does the applicant pay any royalties, have a revenue share or other ongoing remuneration for the use of any games or other software which will be used in Pennsylvania gaming operations provide a copy of the agreement. | | | |
| Intera | active Gaming Manufacturer Applicants Only | | | |
| | Appendix 4: Provide details on the ownership of any intellectual property for games or other products that will be offered or used to facilitate online gaming in the Commonwealth. If a contract or agreement exists, a copy must be provided as part of this appendix. | | | |
| | Appendix 5: Provide a detailed list of where remote gaming servers or studios (for live gaming studios) are or will be located. Provide information regarding the owner and address of the property. If there is an agreement or contract between the property and/or building owner and the applicant a copy must be provided as part of this appendix. | | | |
| VGT I | Manufacturer Applicants Only | | | |
| | Appendix 6: Provide a summary of the work to be performed with respect to Pennsylvania including the type of video gaming terminals, redemption terminals or associated equipment to be manufactured or repaired. | | | |
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Appendix 7: Provide a description of your plan for the maintenance of video gaming terminals in Pennsylvania. Include the names and roles of any third-party entities who will be

involved in the maintenance of the video gaming terminals in Pennsylvania.

Schedule 1: Additional Principals and Key Employees

Provide the following information for all owners, officers, directors or department heads or those otherwise empowered to make discretionary decisions that manage, oversee or direct the conduct of gaming. (Include only those not currently licensed by the Board). Individuals listed should complete the Multi-Jurisdictional Personal History Disclosure Form and the Principal/Key Employee Form-Pennsylvania Supplement to the Multi-Jurisdictional Personal History Disclosure Form.

| | | | | | Applicant | Information | | | | |
|-----------------|---------|---------------|-------------------|---------------------------|-----------------------------|----------------|--|-----------------|------------|-------------|
| Applicant Name: | | | | Current Title or Position | | | | | | |
| Address Line 1 | | | | | | Address Line 2 | | | | |
| Address Line 3 | | | City | | | | State/Province | | | Postal Code |
| Country | | | Email Address | | | Phone Number | | Fax Number | | |
| | Da | ite. Titles a | nd/or Positio | ns H | eld (starting v | with current p | osition an | nd working back | (wards) | |
| From Date | To Date | | Title or Position | | Annual Compensation & Value | | Composition of Compensation (Specify salary, wages, commissions, fees, bonus or other) | | | |
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| | | | | | | | | | | |
| | | | | | Applicant | Information | | | | |
| Applicant Name: | | | | Current Title or Position | | | | | | |
| Address Line 1 | | | | | | Address Line 2 | | | | |
| Address Line 3 | | | | City | | | | State/Province | | Postal Code |
| Country | | | Email Address | | | | Phone Number | | Fax Number | |
| | Da | ite, Titles a | nd/or Positio | ns H | eld (starting v | with current p | osition an | nd working back | wards) | |
| From Date | To Date | | | | ensation & Value | | Composition of Compensation (Specify salary, wages, commissions, fees, bonus or other) | | | |
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^{*} Make additional copies and attach additional pages as necessary

| Affirm | MATION | | | |
|---|---|--|--|--|
| THE CHIEF EXECUTIVE OFFICER ("CEO") OR AUTHORIZED SIGNATORY HEREBY AFFIRMS THAT THE APPLICANT CURRENTLY HOLDS A MANUFACTURER LICENSE IN GOOD STANDING WITH THE BOARD AND THAT THERE HAVE BEEN NO MATERIAL CHANGES IN CIRCUMSTANCES RELATING TO THE MANUFACTURER LICENSE. THE CEO/AUTHORIZED SIGNATORY HEREBY FURTHER AFFIRMS THAT THE INFORMATION PROVIDED HEREIN IS TRUE AND CORRECT AND THAT THERE IS NO MISREPRESENTATION, FALSIFICATION OR OMISSION IN THE APPLICATION. FURTHER, THE CEO/LICENSEE IS AWARE THAT ANY FALSE OR MISLEADING STATEMENT OR OMITTED INFORMATION WILL BE CAUSE FOR REJECTION OR REVOCATION OF A LICENSE, REGISTRATION, CERTIFICATE OR PERMIT AND MAY BE SUBJECT TO CRIMINAL PENALTIES UNDER 18 PA C.S.A. §§ 4902, 4903, AND 4904. | | | | |
| | | | | |
| MANUFACTURER AFFIRMATION DATE/20 | SUBSCRIBED AND SWORN TO ME THIS DAY OF | | | |
| PRINT NAME OF CEO/AUTHORIZED SIGNATORY AND TITLE* | OF 20 | | | |
| SIGNATURE OF CEO/AUTHORIZED SIGNATORY* | NOTARY PUBLIC MY COMMISSION EXPIRES ON//20 | | | |

*IF A PERSON OTHER THAN THE CEO OF THIS ENTITY IS DESIGNATED TO EXECUTE THIS DOCUMENT, THE BOARD MUST BE PROVIDED WITH A RESOLUTION OR AN AFFIDAVIT, CERTIFIED AS TRUE AND CORRECT, IDENTIFYING THE INDIVIDUAL SO DESIGNATED AND AUTHORIZING THAT INDIVIDUAL TO EXECUTE THE DOCUMENT ON BEHALF OF BOTH THE ENTITY AND THE CEO.